

JOINT CAMPAIGN OF INVERSTOR AWARENESS

A joint campaign has been planned in association with Reserve Bank of India, Securities and Exchange Board of India & Department of Consumer Affairs for creating investor awareness. As part of the campaign activities co-branding of jingles, comic strips, crawlers, newspapers advertisements and other activities are proposed to be undertaken. The co-branded jingles are released on various FM and Vividh Bharti channels from time to time.

Conducting investor awareness programs through Indian Institute of Corporate affairs:

A proposal to develop fresh communication material through IICA for organizing IAP's and to conduct some of these programs through resource persons engaged by IICA has been approved. The proposal includes development of modules on investor education in various languages, production elements like TV commercials, Radio jingles/Advertisements, White Board Animations, Blogs, Vlogs, Youtube videos, brochures, pamphlets etc.

Media Involvement:

- Jingles have been developed for investor awareness in Hindi and Regional Languages. These jingles are being aired on AIR FM and Vividh Bharti. The Authority has sponsored the program “Chandi ke parde se”.
- Scroll messages are being broadcasted on Doordarshan News Channel and its Regional kendra's.
- Publication of advertisement in newspapers about the activities of Authority and information regarding investor awareness are being given periodically through out the year.

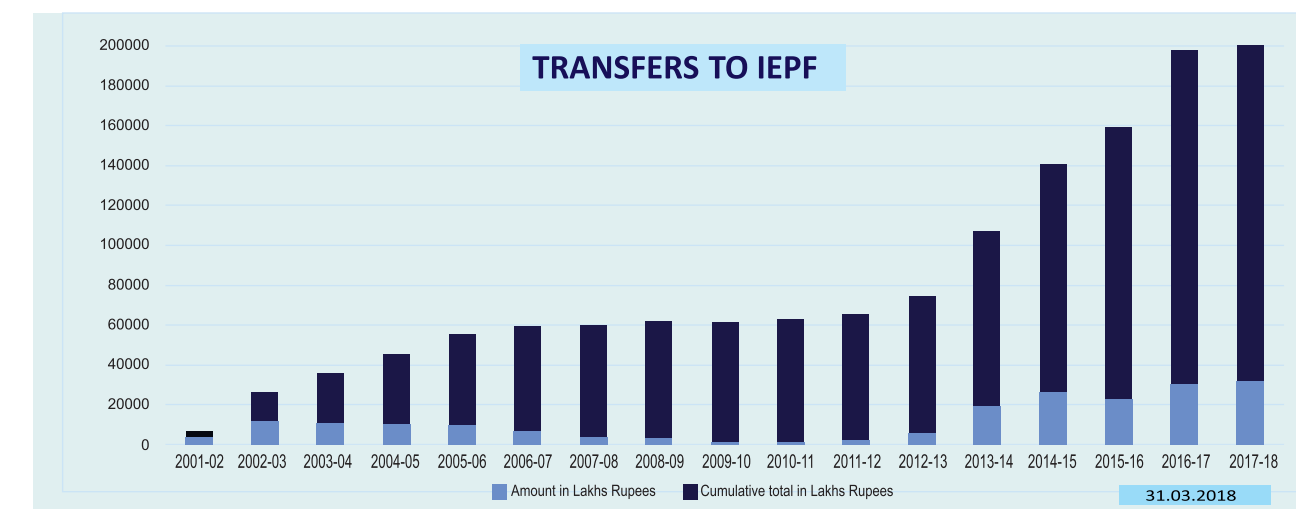
Transfers to IEPF:

IEPF is maintained under consolidated fund of India. The amounts which have remained unpaid or unclaimed for a period of seven years that are inter alia required to be transferred to IEPF are:

- Unpaid or unclaimed dividend lying in the unpaid dividend
- Matured deposits with companies other than banking companies along with accrued interest
- Matured debentures with companies along with interest accrued
- Sale proceed of fractional shares, redemption amount of preference shares
- Unpaid or unclaimed application money received by companies for allotment of shares and due for refund, etc.

Section 124 (6) of Companies Act, 2013 requires that shares in respect of which dividend has not been paid or claimed for seven or more consecutive years or more shall be transferred by the company to IEPF Authority. For facilitating the transfer of shares, the Authority has opened two Demat accounts, for each of the depositories (NSDL & CDSL).

The amount that have been transferred to IEPF till Financial Year 2018 is Rs. 2019.27 Crores. 1355 companies have transferred about 48.59 Crores shares in respect of about 29.46 lakh Folios.



Chairperson and Members of IEPF Authority

1. **Shri Injeti Srinivas** - Chairperson, ex-officio Secretary Ministry of Corporate Affairs Government of India
2. **Smt. Surekha Marandi** - Member, Executive Director Reserve Bank of India
3. **Shri Nagendra Parakh** - Member, Executive Director Securities and Exchange Board of India
4. **Shri Rajib Sekhar Sahoo** - Member, SRB & Associates Chartered Accountants
5. **Shri N.L. Meena** - Member, Former Secretary to Govt. of India & Secretary to Law Commission of India
6. **Smt. Anita Kapur** - Member Former Member, Competition Appellate Tribunal
7. **Shri R. Sridharan** - Member, IAS (Retd.), Former Member Finance Commission Government of India
8. **Shri Gyaneshwar Kumar Singh** - CEO Joint Secretary Ministry of Corporate Affairs Government of India

A Government of India Initiative towards Investor Education, Awareness and Protection



INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY

MINISTRY OF CORPORATE AFFAIRS, GOVERNMENT OF INDIA

INTRODUCTION:

Investor Education and Protection Fund Authority



The Government of India has established Investor Education and Protection Fund (IEPF) Authority on 7th September, 2016 under the provisions of Section 125 of the Companies Act, 2013. The Authority is mandated to promote Investor's Education, Awareness and Protection and to make refunds of shares, unclaimed dividends, matured deposits/debentures etc. that have been transferred to IEPF.

INVESTOR AWARENESS INITIATIVES

IEPF Authority is making proactive efforts towards its mandate for promoting investor education, awareness and protection.

Key Achievements:

- 14986 Investor Awareness Programmes (IAPs) have been conducted through Professional Institutes and CSC e-governance
- IEPF Authority, through its CSC e-Governance programmes has reached out and sensitized to 491739 families/citizens
- Since inception, the Authority has sanctioned refund of approx. Rs. 1.26crores to the investors with respect to their unpaid/unclaimed amount which has been transferred to IEPF by the companies

Website: The website of IEPF Authority www.iepf.gov.in provides procedure and information for claiming refund by investors and information about investor awareness initiatives of the Authority. A search facility has been provided on the website for the unclaimed amounts that has been transferred to IEPF. IEPF Form 5 which is E form, required to be filed online by investors for claiming refunds. Other statutory E - forms IEPF 1, IEPF 2, IEPF 3, IEPF 4, IEPF 6 and IEPF 7 which are required to be filed by companies on MCA 21 platform have been made available on the website.

IEPF Portal: A new portal namely www.iepfportal.in is being established for increasing the outreach of Investor Awareness Programmes (IAPs). The portal will provide administrative access to the partner institutions like ICAI, ICSI, ICoAI & IICA for uploading the details of past, ongoing & future programmes.

ACTIVITIES UNDERTAKEN

The Authority has taken various Investor Awareness Initiatives:

- Organizing Investor Awareness Programmes in:
 - ✦ Urban / Semi Rural areas through Professional Institutes (ICSI, ICAI, ICoAI)
 - ✦ Rural areas through the Common Service Centers (CSC's) located in villages in collaboration with CSC e-governance Services Private Limited
- Joint campaign for Investor awareness in association with RBI, SEBI & Deptt. of Consumer Affairs
- Jingles on All India Radio on FM Rainbow and Vividh Bharti Channels
- Scroll messages on Doordarshan News Channel and its Regional Kendra's
- Advertisements in Newspapers
- Sending awareness messages through SMS

Efforts are being made to involve Gram Panchayats, Anganwadi workers, retired personnels etc. in Investor Awareness Programmes.

The Authority has been conducting various awareness programmes and details of which are briefly mentioned as under:

Investor Awareness Programs(IAPs) through Professional Institutes:

The IAPs are organized by the professional institute in the urban & semi - urban areas through their chapter or through the resource persons engaged for the purpose. From 2013-14 to 2017-18, 7147 IAPs have been conducted across the country through these institutes.



IAPs in rural areas through CSC e-Governance:



The Ministry/Authority since 2012-13 has been organising IAP's in villages through CSC e-Governance Services India Ltd., Ministry of Communications & IT (DeitY). A film with the title "BACHATNAMA" about the benefits of saving and to sensitise about various investor frauds is shown to rural investors. A special booklet titled "GRAMEEN NIVESHKO KE LIYE VIVRAM PUSTIKA" has been developed on investor awareness and is distributed during these programmes.

During the financial year 2017-18, 5519 IAPs (3519 fresh and 2000 refresher) have been organised. To increase the outreach of these programmes **35,000** additional IAPs covering the remaining states of the country have been planned for the year 2018-19.

INVESTOR AWARENESS through CSCs and Past Experience

With the support and collaboration of Ministry of Corporate Affairs (MCA), CSC SPV is implementing Investor Awareness sessions in different phases. During the initial four phases 7839 IAPs have been conducted across 12 states reaching to approx. 491739 families/ citizens. Details of the program are mentioned below:

Sr no.	Phases of Rollout	States Covered	Total Sessions Conducted	Sessions Conducted State Wise	No. of Families Covere
1	First Phase 2013-14	Rajasthan, Punjab and UttarPradesh	20	Rajasthan (6), Punjab (7) and Uttar Pradesh (7)	2006
2	Second Phase 2014-15	Assam, Odisha, Madhya Pradesh, Chhattisgarh, West Bengal and Jharkhand	300	Assam (33), Odisha (41) , Madhya Pradesh (46), Chhattisgarh (51), West Bengal(90) and Jharkhand (39)	9752
3	Third Phase 2015-16 & 2016-17	Assam, Bihar, Odisha, Rajasthan, Madhya Pradesh, West Bengal, Jharkhand, Haryana and Uttar Pradesh	2000	Assam (98), Bihar (288), Odisha (174), Rajasthan (234), Madhya Pradesh (176), West Bengal (344), Jharkhand (210), Haryana (177) and Uttar Pradesh (299)	112133
4	Fourth Phase 2017-18	Assam, Bihar, Haryana, Odisha, Rajasthan, Madhya Pradesh, West Bengal, Jharkhand, Uttar Pradesh, Chhattisgarh, Himachal Pradesh and Uttarakhand	5519	Assam (548), Bihar (288), Haryana (177), Odisha (624), Rajasthan (234), Madhya Pradesh (176), West Bengal (628), Jharkhand (660), Uttar Pradesh (849), Chhattisgarh (550), Himachal Pradesh (350) and Uttarakhand (435)	367848
Total		12 States	7839		491739

Citizens who have participated in the programmes have been directly linked to banks and other financial services which are provided by CSC's.